



THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

Whitman et al.

Serial No.: 09/996,423

Filed: November 28, 2001

For: SPIN COATING FOR MAXIMUM FILL
CHARACTERISTIC YIELDING A
PLANARIZED THIN FILM SURFACE

Examiner: Unknown

Group Art Unit: 2823

Attorney Docket No.: 4294.3US (98-1208.3)

CERTIFICATE OF MAILING

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Deidra Pfeil
Typed/printed name of person whose signature is contained above

PRELIMINARY AMENDMENT

Box Non-Fee Amendment
Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination of the above-referenced patent application on the merits, entry of the amendments as set forth herein is respectfully solicited.

Please type a plus sign (+) inside the box → ☐

PTO/SB/21 (08-00)

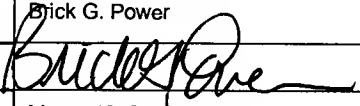
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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/996,423 | |
| | Filing Date | 11/28/2001 | |
| | First Named Inventor | Whitman et al. | |
| | Group Art Unit | 2823 | |
| | Examiner Name | Unknown | |
| | | Attorney Docket Number | 2269-4294.3US |

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|---|---|--|
| ENCLOSURES (check all that apply) | | |
| <input checked="" type="checkbox"/> Postcard receipt acknowledgment (attached to the front of this transmittal) | <input type="checkbox"/> Information Disclosure Statement, PTO/SB/08A (08-00); <input type="checkbox"/> copy of cited references | <input type="checkbox"/> Terminal Disclaimer |
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| <input type="checkbox"/> Response to Restriction Requirement/Election of Species Requirement dated | <input type="checkbox"/> Petition for Extension of Time and Check No. in the amount of \$ | |
| <input type="checkbox"/> Amendment in response to office action dated | <input type="checkbox"/> Petition | |
| <input type="checkbox"/> Amendment under 37 C.F.R. § 1.116 in response to final office action dated | <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Additional claims fee - Check No. in the amount of \$ | <input type="checkbox"/> Certified Copy of Priority Document(s) | |
| <input type="checkbox"/> Letter to Chief Draftsman and copy of FIGS. with changes made in red | <input type="checkbox"/> Assignment Papers (for an Application) | |
| <input type="checkbox"/> Transmittal of Formal Drawings | Remarks | |
| <input type="checkbox"/> Formal Drawings (sheets) | The Commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to Deposit Account 20-1469 during pendency of this application. | |

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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
| Firm or Individual name | Brick G. Power Registration No. 38,581 |
| Signature |  |
| Date | March 12, 2002 |

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